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(Based on PTO 10-07 version)

Substitute for form 1449/PTO				Complete if Known Application Number 10/590,139-Conf. #5647 Filing Date June 30, 2005 First Named Inventor Neil Duggal Art Unit 3738 Examiner Name Not Yet Assigned Attorney Docket Number HO-P03203US0	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>					
Sheet	1	of	2		

Examiner Initials*	Cite No.†	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code* (if known)			
	AA*	US-5,047,055	09-10-1991	Bao et al.	
	AB*	US-5,192,326	03-09-1993	Bao et al.	
	AC*	US-5,314,477	05-24-1994	Marnay et al.	
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	AH*	US-5,824,094	10-20-1998	Serhan et al.	
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	AK*	US-6,001,130	12-14-1999	Bryan et al.	
	AL*	US-6,156,067	12-05-2000	Bryan et al.	
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	AN*	US-6,302,914	10-16-2001	Michelson	
	AO*	US-6,592,624	07-15-2003	Fraser et al.	
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	AR*	US-20020128715-A1	09-12-2002	Bryan et al.	
	AS*	US-20020183848-A1	12-05-2002	Ray et al.	
	AT*	US-20040068318-A1	04-08-2004	Coates et al.	
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	AA1*	US-20050216086-A1	09-29-2005	Marik et al.	

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ² -Number ³ -Kind Code ² (if known)	MM-DD-YYYY			

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and note considered. Include copy of this form with next communication to applicant. * CITE NO: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. *Applicant's unique citation designation number (optional). ** Kind of Code of USPTO Patent Documents filed after 10/16/2003 or MPEP 901.04. * Enter Office that issued the application. * Indicate the date of publication of the application. The date of publication of the application is the date of the review of the Examiner must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. It is possible. * Applicant is to place a check mark here if English language Translation is attached.

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	CA	FERCH et al., "Anterior correction of cervical kyphotic deformity: effects on myelopathy, neck pain, and sagittal alignment", J Neurosurg., Jan. 2004, 13-9, Vol. 100(1 Suppl Spine).	
Examiner Signature	Date Considered		
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BS/

October 24, 2007 Electronic signature: / Svitlana Anderson /
 Date Svitlana Anderson

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Substitute for form 1449/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/590,139-Conf. #5647
		Filing Date	June 30, 2005
		First Named Inventor	Neil Duggal
		Art Unit	3738
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	HO-P03203US0
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CB	HARRISON et al., "Modeling of the sagittal cervical spine as a method to discriminate hypolordosis: results of elliptical and circular modeling in 72 asymptomatic subjects, 52 acute neck pain subjects, and 70 chronic neck pain subjects", Spine, 2004, 24:95-2492, Vol. 29(22).
CC	HILIBRAND et al., "Radiculopathy and Myelopathy at Segments Adjacent to the Site of a Previous Anterior Cervical Arthrodesis", The Journal of Bone and Joint Surgery, 1999, 519-28, Vol. 81.
CD	JOHNSON et al., "Sagittal Alignment and the Bryan Cervical Artificial Disc", Neurosurg Focus, 2004, 1-4, Vol. 17(6)(E14).
CE	KATSUURA et al., "Anterior cervical plate used in degenerative disease can maintain cervical lordosis.", J Spinal Disord., Dec. 1996, 470-6, Vol. 9(6).
CF	KATSUURA et al., "Kyphotic malalignment after anterior cervical fusion is one of the factors promoting the degenerative process in adjacent intervertebral levels", European Spine Journal, August, 2001, 320-324, Vol. 10(4).
CG	KAWAKAMI et al., "Axial symptoms and cervical alignments after cervical anterior spinal fusion for patients with cervical myelopathy", J. Spinal Disord., 1999, 50-56, Vol. 12.
CH	PICKETT et al., "Effects of a Cervical Disc Prosthesis on Segmental and Cervical Spine Alignment", Neurosurg Focus, 2004, Vol. 17(3).
CI	SEKHON et al., "Cervical Arthroplasty in the Management of Spondylotic Myelopathy: 18-Month Results", Neurosurg Focus, 2004, Vol. 17(3).

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Bruce Snow/	Date Considered	03/10/2010
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